THEY ARE OPEN, THEY ARE FREE, AND THEY ARE ... SOMEWHERE

The Status of Chinese Academic Institutional Repositories

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Institutional Repository & Open Access

- Two roads to open access (OA):
 - Golden road: OA journals which provide OA to their articles
 - Green road: self-archiving, such as institutional repositories (IR)
 - Two roads complementary

What is IR

- Raym Crow's definition (collection perspective)
 Digital collections that capture and preserve the intellectual output of university communities
- Clifford A. Lynch's definition (service perspective) A set of services that a university offers to the members of its community for the management and dissemination of digital materials created by the institution and its community members.

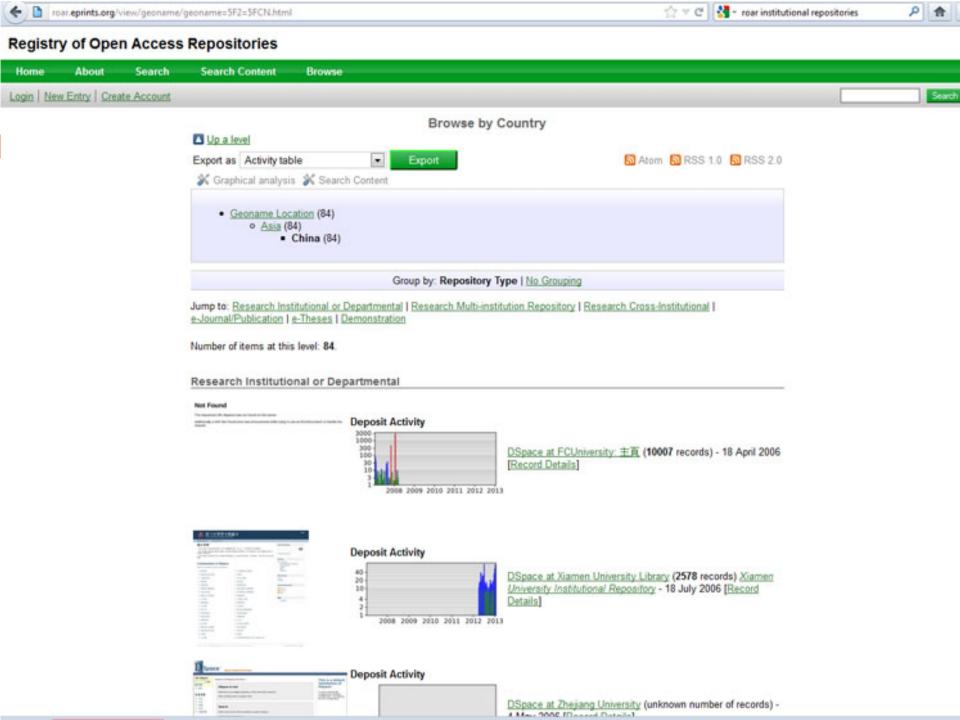
More on IR

- Self-archiving
- New way of scholarly communication
- Gateway to research and scholarship of an institution
- An infrastructure for an institution to participate in the OA movement
- Increase the visibility and usability of the research output

Finding Chinese Academic IRs

Only Mainland China IR

- but not from Taiwan, Hong Kong and Macau
- both Chinese universities and research institutes
- ROAR (Registry of Open Access Repositories)
- OpenDOAR (Directory of Open Access Repositories)
- SouOA (Open Access Library)
- Library websites
- Emails and phone calls
- Google/Baidu



Chinese Academic IRs-ROAR

- □ ROAR: Registry of Open Access Repositories
 - A registry hosted by the University of Southampton, UK
 - 79 listed, 20 registered twice
 - 40 active as of 3/15/2013
 - Server error
 - Website under construction
 - Website doesn't point to the IR
 - 5 are Chinese university IRs, only 1 working





China

- Chinese Academy of Science (中国科学院) (CAS) http://www.cas.cn/
 - Institutional Repository of Chengdu Institute of Biology, CAS (CIB OpenIR)

http://210.75.237.14/

Institutional Repository of Dalian Institute of Chemical Physics, CAS (DICP-IR)

http://159.226.238.44/

Institutional Repository of GuangZhou Institute of Energy Conversion, CAS (GIEC OpenIR)

http://ir.giec.ac.cn/

- Institutional Repository of Institute of Automation, CAS (SIA OpenIR)
- http://210.72.131.170/
- Institutional Repository of Institute of Chemistry, CAS (ICCAS OpenIR)

http://159.226.64.105/

Institutional Repository of Institute of Coal Chemistry, CAS (SXICC OpenIR)

http://r.axicc.ac.cn/

Institutional Repository of Institute of Computing Technology, CAS (ICT OpenIR)

http://159.226.40.250/

Institutional Repository of Institute of Earth Environment, CAS (IEECAS OpenIR)

http://ir.leecas.cn/

- Institutional Repository of Institute of Geographic Sciences and Natural Resources Research, CAS (IGSNRR OpenIR) http://159.226.115.200/
- Institutional Repository of Institute of Modern Physics, CAS (IMP OpenIR)

http://210.77.73.110/

 Institutional Repository of Institute of Soil Science, CAS (ISSAS OpenIR) http://159.226.121.23/

Institutional Repository of Ningbo Institute of Material Technology & Engineering, CAS (NIMTE OpenIR)

- http://ir.nimte.ac.cn/ Institutional Repository of Northwest Institute of Plateau Biology, CAS (NWIPB OpenIR)
- http://210.75.249.2/
- Institutional Repository of South China Sea Institute of Oceanology, CAS (scsio-IR) http://210.77.90.120/
- Institutional Repository of Xi'an Institute of Optics and Precision Mechanics, CAS (OPT-IR)

http://r.opt.ac.cn/

Institutional Repository of Xinjiang Institute of Ecology and Geography, CAS (EGI OpenIR)

http://ir.xilas.org/

- Institutional Repository of Yantai Institute of Coastal Zone Research, CAS (YIC-IR)
 - http://r.yic.ac.on/
- 18. Knowledge Repository of SEMI, CAS (SEMI OpenIR)

http://k.semi.ac.cn/

- Chinese Academy of Science (中国科学院) (CAS) http://www.cas.cn/
 - 1. HF-IR (Knowledge Repository of HFCAS (合肥物凝料学研究院))

http://r.hfcas.ac.cn/

- Chinese Academy of Sciences (中国科学院) (CAS) http://www.cas.cn/
 - Institutional Repository of Research Center for Eco-Environmental Sciences, CAS (RCEES OpenIR) http://159.226.240.226/

Chinese Academic IRs-OpenDOAR

- OpenDOAR: Directory of Open Access Repositories
 - Supported by the University of Nottingham
 - Not just auto-harvest, but actually survey repositories to produce assured list
- Chinese IRs as of 3/15/2013
 - 33 registered under China
 - Only 1 university IR registered (Xiamen University)
 - 26 from institutes under China Academic of Science (CAS)
 - 5 from Hong Kong, 1 from Macau
 - 26 active links



Chinese Academic IRs-SouOA

- □ SouOA (OA图书馆) http://www.souoa.com/
 - Chinese website dedicated to introduce OA resources and track OA development
 - Not just IR, but include open repositories and free online resources
 - 75 from the world listed, but only 45 "real" IRs
 - ■9 from China, 1 not working



Chinese Academic IRs-CHAIR

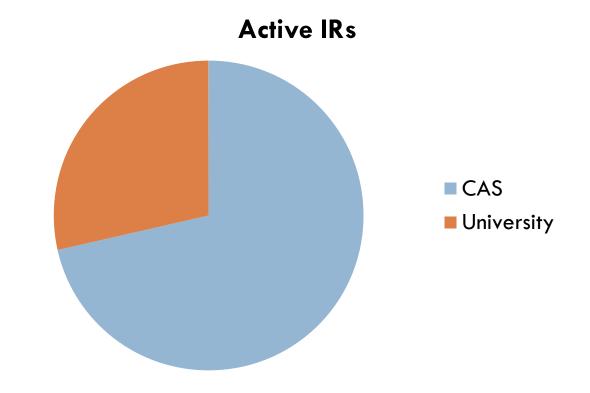
- CHAIR project from CALIS
 - Started in June 2011
 - 5 leading libraries: PKU, Tsinghua U, BIT, Xiamen U and Chongqing U
 - Model: centralized IR repository with distributed local IR implementation
 - 3 systems
 - CHAIR central platform developed by PKU
 - CHAIR local platform developed by all 5 leading libraries
 - CHAIR RISE platform developed by Chongqing U
 - Platform and guideline are open use to CALIS members

Chinese Academic IRs-CHAIR

CHAIR Central

- ■28 registered IR as of 3/15/2013
- ■16 active: links can open the IR site
- ■12 broken links: they might be active, but the links are not working

 Total Number of IR we've found so far: 56 active ones (39 from CAS, 16 university IRs)



Access Level

- Full text and metadata open to everyone: among 16 active links in CHAIR, 9 have open access to everything
- Metadata available to view, but full text only open to on-campus people: Tsinghua University
- Some full text open to the public, while the rest are not: Jimei University
- No access: Beijing university

- Content scope
 - Mainly are published journal articles, prescripts are rare
 - Some started from master/doctoral theses, but most are not open access
 - Published books/book chapters usually provide metadata only
 - Patents are usually open to the public
 - Power point presentation, visual materials, class note, working papers are very few

- Difficulties
 - Broken links: 32 links not working
 - Unstable links/access: sometimes can open, sometimes not:
 - Loading time too long
 - ■Server error

Analysis & Discussion

- Slow development
 - Compared to its western counterparts: started from 2006, only 71 active as of today vs. 495 in the US
- lack of institutional efforts
 - Some are individual initiative/personal interest
- Few are matured and comprehensive
 - Xiamen University is an exception
- Very unstable development
- Still in experiment stage

Implications to the East Asian Collection

- Limited usefulness/value at this stage
- Free available resources are most on the science and technology subjects
- Retrospective –focusing more on published articles
- Many issues to be resolved
 - Copyright, standards, sustainability

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Questions for us to think about

- What role can CEAL libraries play? Active participants or observer?
- How can we reach out to our Chinese colleagues and work together to enable and enhance OA collections and services?

Bibliographies

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- □ Hua Nie, "CHAIR: CALIS 机构知识库建设与推广,反思与展望。"第九次中文文献资源共建共享会议, 2012. http://www.nlc.gov.cn/newgjgx/wxgxhyzxdt/201211/ /W020130107498796062606.pdf

Thank You!

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